



United States Patent and Traden

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/688,216

10/16/2000

KNUD ERIK **BAEKGAARD**

740119-98

22204 NIXON PEABODY, LLP 8180 GREENSBORO DRIVE SUITE 800 MCLEAN, VA 22102

FORMALITIES LETTER

Date Mailed: 12/04/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice <u>MUST</u> be returned with the reply. Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Application Number 09/688,216 **TRANSMITTAL** Filing Date October 16, 2000 **FORM** First Named Inventor (to be used for all correspondence after initial filing) Knud Erik BÆKGAARD et al. Group Art Unit 2643 Examiner Name Unknown Attorney Docket Number Total Number of Pages in This Submission 740119-98 ENCLOSURES (check all that apply) After Allowance Communication to Group Assignment Papers Fee Transmittal Form (for an Application) Application Data Sheet Fee Attached ☐ Drawing(s) Amendment / Reply Licensing-related Papers ☐ After Final Petition Petition to Convert to a Affidavits/declaration(s) Provisional Application Extension of Time Request Power of Attorney, Revocation Change of Correspondence Express Abandonment Request Address ☐ Information Disclosure Statement Terminal Disclaimer Request for Refund Certified Copy of Priority Document(s) CD, Number of CD(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Declaration (37 CFR 1.63) For Utility or Design Application Using an Application Data Sheet (37 CFR 1.76) SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm David S. Safran, Esq. Nixon Peabody LLP Individual name 8180 Greensboro Drive Suite 800 McLean, VA Signature February 5, 2001 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 5, 2001 K.M. McManus Type or printed name Date February 5, 2001

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PTO/SB/17 (09-00) PTO/SB/17 (0

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FEE TRANSMIT	TAT.	Alication Number	09/688,216		
FOR FY 2001	FEB 11 a	Files Date	October 16, 2000		
		First Named Inventor	Knud Erik BÆKGAARD et al.		
	To a	E ku niner Name	Unknown		
Patent fees are subject to annual re	vision. PADEMARY	Group Art Unit	2643		
TOTAL AMOUNT OF PAYMENT	(\$)880.00	Attorney Docket No.	740119-98		

METHOD OF PAYMENT	FEE CALCULATION (continued)						
1. E The Commissioner is hereby authorized to charge indicated	3. ADDITIONAL FEES						
fees and credit any overpayments to:	Large Entity Small Entity	- 1					
Deposit Account Number	Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid						
	105 130 205 65 Surcharge – late filing fee or oath 130.00	-					
Deposit Account Name Nixon Peabody LLP	127 50 227 25 Surcharge – late provisional filing fee or cover sheet	١					
Name	139 130 139 130 Non-English transaction						
Charge Any Additional Fee Required	147 2,520 147 2,520 For filing a request for ex parte reexamination						
Under 37 CFR 1.16 and 1.17	112 920* 112 920* Requesting publication of SIR prior to Examiner						
Applicant claims small entity status. See 37 CFR 1.27	action 113 1,840* 1131,840* Requesting publication of SIR after Examiner action						
2. E Payment Enclosed:	115 110 215 55 Extension for reply within first month	ŀ					
	116 390 216 195 Extension for reply within second month	ı					
Check LI Credit Card LI Money LI Other Order	117 890 217 445 Extension for reply within third month	l					
FEE CALCULATION	118 1,390 218 695 Extension for reply within fourth month						
1. BASIC FILING FEE	128 1,890 228 945 Extension for reply within fifth month	ı					
Large Entity Small Entity	119 310 219 155 Notice of Appeal						
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	120 310 220 155 Filing a brief in support of an appeal						
10) 710 201 355 Utility filing fee 710.00	121 270 221 135 Request for oral hearing						
106 320 206 160 Design filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding						
107 490 207 245 Plant filing fee	140 110 240 55 Petition to revive - unavoidable						
108 710 208 355 Reissue filing fee	141 1,240 241 620 Petition to revive – unintentional						
114 150 214 75 Provisional filing fee	142 1,240 242 620 Utility issue fee (or reissue)						
	143 440 243 220 Design issue fee						
SUBTOTAL (1) (\$)710.00	144 600 244 300 Plant issue fee						
2, EXTRA CLAIM FEES	122 130 122 130 Petitions to the Commissioner						
Fee from Extra Claims below Fee Paid	123 50 123 50 Petitions related to provisional applications	I					
Extra Claims below Fee Paid Total Claims 20 $-20^{\bullet \bullet} = 0$ X 18.00 $= 0$	126 240 126 240 Submission of information Disclosure Strnt						
Independent 1 -3**= 0 X 80.00 = 0	581 40 581 40 Recording each patent assignment per property (times number of properties)						
Multiple Dependent 270. = 0	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))						
Large Entity Small Entity Fee Fee Fee Fee Fee Description	149 710 249 355 For each additional invention to be examined (37 CFR § 1.29(b))						
. Code (\$) Code (\$)	179 710 249 355 Request for Continued Examination (RCE)						
103 18 203 9 Claims in excess of 20	169 900 169 900 Request for expedited examination of a design application						
102 80 202 40 Independent claims in excess of 3	Other fee (specify)						
104 270 204 135 Multiple dependent claim, if not paid	·						
109 80 209 40 ** Reissue independent claims over original patent	* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)170.00						
110 18 210 9 ** Reissue claims in excess of 20 and over original patent							
SUBTOTAL (2) (\$) -0-	0						
**or number previously paid, if greater; For Reissues, see above							
SUBMITTED BY	Complete (if applicable)						

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	David S. Safran	Registration No. (Attorney/Agent)	27,997	Telephone	703-790-9110		
Signature	ladv. Obl			Date	February 5, 2001		

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